Attorney Docket No.: 4318.244-US PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Bisgaard-Frantzen et al. Confirmation No: To be assigned

Serial No.: To be assigned Group Art Unit: To be assigned

Filed: February 12, 2004 Examiner: To be assigned

For: Method for Producing Sweetners and Alcohol

## INFORMATION DISCLOSURE STATEMENT (IDS) UNDER 37 CFR 1.97(b)

Mail Stop DD Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. 1.56, 1.97 and 1.98, Applicants submit herewith references which may be material to the patentability of this application and with respect to which there may be a duty to disclose. While the references may be material under 37 C.F.R. 1.56, it is not intended to constitute an admission that the references are prior art. The filing of this IDS shall not be construed as a representation that no other material references than those listed exist or that a search has been conducted.

The references are listed in Form PTO/SB/08A, which is in accordance with the requirements of M.P.E.P. 609. A copy of the references was filed in US application no. 10/025,648 filed December 19, 2001, the benefit of which is claimed under 35 USC 120. It is respectfully requested that these references be considered by the USPTO in its examination of the above-identified application and be made of record therein.

This IDS is being filed **within** three months of the filing date of a national application or date of entry into the national stage of an international application, or **b** for the mailing date of a first Office action on the merits, or **b** fore the mailing date of a first Office action after the filing of a request for continued examination. Therefore, no fee is due.

Respectfully submitted,

Date: February 12, 2004

Jason I. Garbell, Reg. No. 44,116 Novozymes North America, Inc. 500 Fifth Avenue, Suite 1600 New York, NY 10110

(212) 840-0097

PTO/SB/08A (10-01)
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				Application Number	To be assigned		
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				Examiner Name	To be assigned		
Sheet	1	of	3	Attorney Docket Number	4318.244-US		

		Ū	S PATENT DOCU	MENTS	
Examiner Initials	Cite No. 1	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,093,257	03/03/92	Gray et al.	
		5,830,837	11/03/98	Bisgard-Frantzen et al.	
		4,600,693	07/15/86	Kindle, et al.	
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		FOREIGN P	ATENT DOCU	VIENTS		
Examiner Initials	Cite No. 1	Foreign Patent Document  Country Code <sup>3</sup> – Number <sup>4</sup> – Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т 6
		EP 0 252 666	01/13/88			
		EP 0 368 341	05/16/90			
		EP 0 285 123	10/05/88	-		
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		WO 95/10603	04/20/95			
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STATEMENT BY APPLICANT (use as many sheets as necessary)					First Named Inventor	Bisgaard-Frantzen et al.		
	•		•		Art Unit	To be assigned		
					Examiner Name	To be assigned		
Sheet	2	of	3		Attorney Docket Number	4318.244-US		
		FR 2 676 4	56	11.	/20/92			
		FR 2 665 1	78	01.	/31/92			
		WO 95/353	382	12.	/28/95			
		WO 87/002	202	01.	/15/87			

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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				Application Number	To be assigned
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	STATEMEN	T BY APPLICA	ANT	First Named Inventor	Bisgaard-Frantzen et
		sheets as necessa			al.
			• •	Art Unit	To be assigned
				Examiner Name	To be assigned
Sheet	3	of	3	Attorney Docket Number	4318.244-US

Examiner's	Cite	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title	
Examiners Initials	No. 1	of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume- issue number(s), publisher, city and/or country where published	T²
		Tsukamoto, A., et al., Biochem. and Biophys. Res. Com., Vol. 151, No.1, pg 25-31 (1998)	
		Estell, D.A., et al., The Jour. Biol. Chem., Vol. 260, No. 11, pgs. 6518-6521 (1985)	
		Abstract - Dialog, File 351, Derwent WPI, Dialog Accession No. 007176688	
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		Chemical Abstracts, Vol. 112, No. 15, The Abstract No. 135178r, pg. 347	
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		Bisgaard-Frantzen et al., J. Appl. Glycosci., Vol. 46, No. 2, pp. 199-205 (1999)	

Examiner	Date	·
Signature	Considered	

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